

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENT-2

Name of the Insurer: ICICI Lombard General Insurance Company Limited

Registration No. 115 dated August 03, 2001

Statement as on: December 31, 2016

(CIN) U67200MH2000PLC129408

(₹ in Lakhs)

| No. | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----------|---------------------------------------|------|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A | During the quarter¹ | | | | | | | | |
| B | As on Date² | | | | | | | | |
| | 9.55% Hindalco Ltd 25Apr22 | OLDB | 5,000 | 14-Jun-12 | CARE | AA+ | AA | 28-Oct-15 | NA |
| | 9.55% Hindalco Ltd 25Apr22 | OLDB | 5,000 | 14-Jun-12 | CRISIL | AA | AA- | 04-Aug-15 | NA |
| | 9.55% Hindalco Ltd 25Apr22 | ECOS | 5,000 | 14-Jun-12 | CRISIL | AA+ | AA | 20-Jun-14 | NA |

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04