## PERIODIC DISCLOSURES

**FORM NL-37-DOWN GRADING OF INVESTMENT-2**

Name of the Insurer: ICICI Lombard General Insurance Company Limited  
Registration No. 115 dated August 03, 2001  
Statement as on: September 30, 2019  
(CIN) L67200MH2000PLC129408  

### Year: 2019-20

<table>
<thead>
<tr>
<th>No.</th>
<th>Name of the Security</th>
<th>COI</th>
<th>Amount</th>
<th>Date of Purchase</th>
<th>Rating Agency</th>
<th>Original Grade</th>
<th>Current Grade</th>
<th>Date of Downgrade</th>
<th>Remarks</th>
</tr>
</thead>
</table>
| A   | 9.45% Indiabulls Housing Finance Ltd 08Dec19  
(Nota 4) | HTDA   | 5,000  | 8-Dec-14 | CARE | AAA | AA+ | 25-Sep-19 | NA |
|     | 9.4648% Indiabulls Housing Finance Ltd 04Nov19  
(Nota 4) | HTDA   | 7,500  | 4-Oct-18 | ICRA | LAAA | LAA+ | 4-Sep-19 | NA |
|     | 9.2244% Indiabulls Housing Finance Ltd 11Jun20 | HTDA | 10,000 | 11-Dec-18 | CRISIL | AAA | AA+ | 12-Sep-19 | NA |
|     | 7.58% PNB Housing Finance Ltd 15Mar21 | HTDA | 15,000 | 15-Nov-17 | CARE | AAA | AA+ | 9-Jul-19 | NA |
| B   | 9.45% Indiabulls Housing Finance Ltd 08Dec19  
(Nota 4) | HTDA   | 5,000  | 8-Dec-14 | CARE | AAA | AA+ | 25-Sep-19 | NA |
|     | 9.4648% Indiabulls Housing Finance Ltd 04Nov19  
(Nota 4) | HTDA   | 7,500  | 4-Oct-18 | ICRA | LAAA | LAA+ | 4-Sep-19 | NA |
|     | 8.67% IDFC First Bank Ltd 03Jan25 | ECOS  | 7,082  | 6-Sep-17 | ICRA | LAA+ | LAA | 16-Nov-18 | NA |
|     | 8.52% IDFC First Bank Ltd 27Feb25 | ECOS  | 20,505 | 5-Jun-17 | ICRA | LAA+ | LAA | 21-May-19 | NA |
|     | 7.58% PNB Housing Finance Ltd 15Mar21 | HTDA  | 15,000 | 15-Nov-17 | CARE | AAA | AA+ | 9-Jul-19 | NA |

### Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investment (COI) shall be as per INV/GLN/001/2003-04
5. The Company held debentures in Indiabulls Housing Finance Ltd amounting to `22,500 lakhs of which it offered debentures amounting to `12,500 lakhs at par in the buyback offer made by the issuer on October 18, 2019.