

**PERIODIC DISCLOSURES**

**FORM NL-37-DOWN GRADING OF INVESTMENT-2**

**Name of the Insurer: ICICI Lombard General Insurance Company Limited**

**Registration No. 115 dated August 03, 2001**

**Statement as on: September 30, 2019**

**(CIN) L67200MH2000PLC129408**

**Year: 2019-20**

**(` in Lakhs)**

No.	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A</b>	<b>During the quarter<sup>1</sup></b>								
	9.45% Indiabulls Housing Finance Ltd 08Dec19 <sup>(Note 5)</sup>	HTDA	5,000	8-Dec-14	CARE	AAA	AA+	25-Sep-19	NA
	9.4648% Indiabulls Housing Finance Ltd 04Nov19 <sup>(Note 5)</sup>	HTDA	7,500	4-Oct-18	ICRA	LAAA	LAA+	4-Sep-19	NA
					CRISIL	AAA	AA+	12-Sep-19	NA
	9.2244% Indiabulls Housing Finance Ltd 11Jun20	HTDA	10,000	11-Dec-18	CRISIL	AAA	AA+	12-Sep-19	NA
					CARE	AAA	AA+	25-Sep-19	NA
	7.58% PNB Housing Finance Ltd 15Mar21	HTDA	15,000	15-Nov-17	CARE	AAA	AA+	9-Jul-19	NA
<b>B</b>	<b>As on Date<sup>2</sup></b>								
	9.45% Indiabulls Housing Finance Ltd 08Dec19 <sup>(Note 5)</sup>	HTDA	5,000	8-Dec-14	CARE	AAA	AA+	25-Sep-19	NA
	9.4648% Indiabulls Housing Finance Ltd 04Nov19 <sup>(Note 5)</sup>	HTDA	7,500	4-Oct-18	ICRA	LAAA	LAA+	4-Sep-19	NA
					CRISIL	AAA	AA+	12-Sep-19	NA
	9.2244% Indiabulls Housing Finance Ltd 11Jun20	HTDA	10,000	11-Dec-18	CRISIL	AAA	AA+	12-Sep-19	NA
					CARE	AAA	AA+	25-Sep-19	NA
	8.67% IDFC First Bank Ltd 03Jan25	ECOS	7,082	6-Sep-17	ICRA	LAA+	LAA	21-May-19	NA
					ICRA	LAAA	LAA+	16-Nov-18	NA
					India Rating	INDAAA	INDAA+	27-Jun-18	NA
	8.52% IDFC First Bank Ltd 27Feb25	ECOS	20,505	5-Jun-17	ICRA	LAAA	LAA+	16-Nov-18	NA
					India Rating	INDAAA	INDAA+	27-Jun-18	NA
					ICRA	LAA+	LAA	21-May-19	NA
	7.58% PNB Housing Finance Ltd 15Mar21	HTDA	15,000	15-Nov-17	India Rating	INDAAA	INDAA+	10-Jan-19	NA
					CARE	AAA	AA+	9-Jul-19	NA

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04
- 5 The Company held debentures in Indiabulls Housing Finance Ltd amounting to ` 22,500 lakhs of which it offered debentures amounting to ` 12,500 lakhs at par in the buyback offer made by the issuer on October 18, 2019.