

**PERIODIC DISCLOSURES**

**FORM NL-37-DOWN GRADING OF INVESTMENT-2**



Name of the Insurer: BHARTI AXA General Insurance Company Limited  
Registration No: 139 and Date of Registration with the IRDA : 27th June 2008

Name of Fund : Total Investments

Statement as on: 30th June 2016

Date: As on 30th June 2016

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>	<u><b>During the Quarter</b></u>								
	9.10% STERLITE INDUSTRIES (INDIA) LIMITED	OLDB							
			497.49	07/01/2015	CRISIL	AA+	AA-	30/06/2016	
	9.36% SESA STERLITE LTD NCD 30-10-2017	OLDB	-						
			1,003.08	11/11/2014	CRISIL	AA+	AA-	30/06/2016	
<b>B.</b>	<u><b>As on Date</b></u>								
	8.35% SAIL NCD 09-06-2018	ECOS							
			500.00	09/06/2015	CARE	AAA	AA+	16/03/2016	
	9.10% STERLITE INDUSTRIES (INDIA) LIMITED	OLDB	-						
			497.49	07/01/2015	CRISIL	AA+	AA-	30/06/2016	
	9.18% SAIL NCD 27-08-2017	ECOS	-						
			991.31	12/11/2013	CARE	AAA	AA+	16/03/2016	
	9.36% SESA STERLITE LTD NCD 30-10-2017	OLDB	-						
			1,003.08	11/11/2014	CRISIL	AA+	AA-	30/06/2016	

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

**Date : 28-07-2016**

**Signature : .....**

**Full Name and Designation : Mr. MATHIEU VERILLAUD  
Chief Finance Officer**

***Note:***

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
4. Category of Investmet (COI) shall be as per INV/GLN/001/2003-04