

**PERIODIC DISCLOSURES**

**FORM NL-28-STATEMENT OF ASSETS - 3B**



Name of the Insurer: BHARTI AXA General Insurance Company Limited

Registration No: 139 and Date of Registration with the IRDA : 27th June 2008

Statement as on 31st March 2016

Date: As on 30th Sep 2016

**redefining**  
general insurance

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

(Rs. In Lakhs)

Periodicity of Submission: Quarterly

| No | PARTICULARS  | SCH          | AMOUNT              |
|----|--|--------------|---------------------|
| 1  | Investments  | 8            | 284,120.00          |
| 2  | Loans  | 9            | 0.00                |
| 3  | Fixed Assets   | 10           | 1,466.00            |
| 4  | <b>Current Assets</b>                                |              | 0.00                |
|    | a. Cash & Bank Balance                               | 11           | 4,250.00            |
|    | b. Advances & Other Assets                           | 12           | 26,285.00           |
| 5  | <b>Current Liabilities</b>                           |              | 0.00                |
|    | a. Current Liabilities                               | 13           | 190,682.00          |
|    | b. Provisions  | 14           | 56,443.00           |
|    | c. Misc. Exp not Written Off                         | 15           | 0.00                |
|    | d. Debt Balance of P&L A/c                           |              | 105,443.00          |
|    | <b>Application of Funds as per Balance Sheet (A)</b> |              | <b>(36,447.00)</b>  |
|    | <b>Less: Other Assets</b>                            | <b>SCH</b>   | <b>Amount</b>       |
| 1  | Loans (if any)                                       | 9            | 0.00                |
| 2  | Fixed Assets (if any)                                | 10           | 1,466.00            |
| 3  | Cash & Bank Balance (if any)                         | 11           | 4,250.00            |
| 4  | Advances & Other Assets (if any)                     | 12           | 26,285.00           |
| 5  | Current Liabilities                                  | 13           | 190,682.00          |
| 6  | Provisions   | 14           | 56,442.74           |
| 7  | Misc. Exp not Written Off                            | 15           | 0.00                |
| 8  | Debt Balance of P&L A/c                              |              | 105,443.00          |
|    | <b>TOTAL (B)</b>                                     |              | <b>(320,566.74)</b> |
|    | <b>Investment Assets* As per FORM 3B</b>             | <b>(A-B)</b> | <b>284,119.74</b>   |

| No | Investment* represented as   | Reg. %            | SH          |          | PH              | Book Value (SH + PH) | % Actual    | FVC Amount  | Total (d + e)   | Market Value    |
|----|--|-------------------|-------------|----------|-----------------|----------------------|-------------|-------------|-----------------|-----------------|
|    |  |                   | Balance     | FRSM*    |                 |                      |             |             |                 |                 |
|    |  |                   | (a)         | (b)      |                 |                      |             |             |                 |                 |
| 1  | Central Govt. Securities   | Not less than 20% | -           | -        | 641.53          | 641.53               | 22.67%      | -           | 641.53          | 661.82          |
| 2  | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above) | Not less than 30% | -           | -        | 897.58          | 897.58               | 31.24%      | -           | 897.58          | 926.50          |
| 3  | <b>Investment subject to Exposure Norms</b>                                    |                   | -           | -        | -               | -                    | -           | -           | -               | -               |
|    | a. Housing & Loans to SG for Housing and FEE                                   | Not less than 5%  | -           | -        | -               | -                    | -           | -           | -               | -               |
|    | 1. Approved Investments  |                   | -           | -        | 400.73          | 400.73               | 14.16%      | -           | 400.73          | 405.06          |
|    | 2. Other Investments   |                   | -           | -        | -               | -                    | -           | -           | -               | -               |
|    | b. Infrastructure Investments  | Not Less than 10% | -           | -        | -               | -                    | -           | -           | -               | -               |
|    | 1. Approved Investments  |                   | -           | -        | 434.79          | 434.79               | 15.37%      | -           | 434.79          | 448.92          |
|    | 2. Other Investments   |                   | -           | -        | -               | -                    | -           | -           | -               | -               |
|    | c. Approved Investments  |                   | 3.55        | -        | 1,061.91        | 1,061.91             | 37.53%      | 0.44        | 1065.90         | 1,079.86        |
|    | d. Other Investments   | Not exceeding 75% | -           | -        | 34.37           | 34.37                | 1.21%       | 0.39        | 34.8            | 34.91           |
|    | <b>Total Investment Assets</b>   | <b>100%</b>       | <b>3.55</b> | <b>-</b> | <b>2,829.38</b> | <b>2,829.38</b>      | <b>100%</b> | <b>0.83</b> | <b>2,833.76</b> | <b>2,895.25</b> |

**Certification:**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: \_\_\_\_\_

Full name: \_\_\_\_\_

Designation: \_\_\_\_\_

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(\*) Pattern of Investment will apply only to SH funds representing FRMS

(†) Book Value shall not include funds beyond Solvency Margin

Other Investments\* are as permitted under Sec 27A(2) and 27B(3)