


PERIODIC DISCLOSURES									
FORM NL-37-DOWN GRADING OF INVESTMENT-2									
 redefining / general insurance		Name of the Insurer: BHARTI AXA General Insurance Company Limited Registration No: 139 and Date of Registration with the IRDA: 27th June 2008							
		Date: As on 31st December 2016 Name of Fund : Total Investments							
Statement as on: 31st December 2016 Statement of Down Graded Investments Periodicity of Submission: Quarterly									
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. During the Quarter									
B. As on Date									
	9.10% STERLITE INDUSTRIES (INDIA) LIMITED NCD	OLDB							
			497.49	07/01/2015	CRISIL	AA+	AA-	30/06/2016	
	9.18% SAIL NCD 27-08-2017	ECOS							
			991.31	12/11/2013	CARE	AAA	AA+	16/03/2016	
	9.36% SESA STERLITE LTD NCD 30-10-2017	OLDB							
			1,003.08	11/11/2014	CRISIL	AA+	AA-	30/06/2016	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 09-02-2017

Signature :
Full Name and Designation : Mr. MATHIEU VERILLAUD
Chief Finance Officer

Note:

1. Provide details of Down Graded Investments during the Quarter.
 2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
 3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
 4. Category of Investmet (COI) shall be as per INV/GLN/001/2003-04
- FORM 2 PART A FOOTNOTE

FORM - 2

PART - A

(Read with Regulation 10)

Name of the Insurer : Bharti-AXA General Insurance Co. Ltd.

Registration Number : 139

Statement as on : 31-12-2016

Name of Fund : BALANCE

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. During the Quarter									
B. As on Date									

CERTIFICATION

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