

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENT-2



Name of the Insurer: BHARTI AXA General Insurance Company Limited
 Registration No: 139 and Date of Registration with the IRDA : 27th June 2008

Statement as on: 31st March 2017
 Statement of Down Graded Investments
 Periodicity of Submission: Quarterly

Name of Fund : Total Investments

Date: As on 31st March 2017

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. During the Quarter ¹									
	8.25% RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT							
			1000	2/17/2017	CARE	AAA	AA+	3/24/2017	
	8.50% RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT							
			1502.93	2/7/2017	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 18-12-2018 II	EPBT							
			1000	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 20-12-2018 I	EPBT							
			1000	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.90% RELIANCE CAPITAL LIMITED NCD 09-09-2021	EPBT							
			1500	9/8/2016	CARE	AAA	AA+	3/24/2017	
	8.90% RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT							
			3545.43	1/10/2017	CARE	AAA	AA+	3/24/2017	
	9.12% RELIANCE CAPITAL LIMITED NCD 29-06-2020	EPBT							
			1500	6/29/2015	CARE	AAA	AA+	3/24/2017	
B. As on Date ²									
	9.10% STERLITE INDUSTRIES (INDIA) LIMITED NCD 05-04-2017	OLDB							
			497.49	1/7/2015	CRISIL	AA+	AA-	6/30/2016	
	9.18% SAIL NCD 27-08-2017	EPBF							
			991.31	11/12/2013	CARE	AAA	AA+	3/16/2016	
	9.36% SESA STERLITE LTD NCD 30-10-2017	OLDB							
			1003.08	11/11/2014	CRISIL	AA+	AA-	6/30/2016	

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 26-04-2017

Note:

- ¹ Provide details of Down Graded Investments during the Quarter.
- ² Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- ³ FORM-2 shall be prepared in respect of each fund.
- ⁴ Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

Signature _____

Full Name and Designation _____