

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENT-2



Name of the Insurer: BHARTI AXA General Insurance Company Limited
Registration No: 139 and Date of Registration with the IRDA : 27th June 2008

Statement as on: 31st March 2017
Statement of Down Graded Investments
Periodicity of Submission: Quarterly

Name of Fund : Total Investments

Date: As on 30th June 2017

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<i>During the Quarter ¹</i>								
	9.18% SAIL NCD 27-08-2017	EPBF	9/24/1902	41590	CRISIL	AA+	AA	42720	
B.	<i>As on Date ²</i>								
	9.12% RELIANCE CAPITAL LIMITED NCD 29-06-2020	EPBT	1,500.00	6/29/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 20-12-2018 I	EPBT	1,000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 18-12-2018 II	EPBT	1,000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.90% RELIANCE CAPITAL LIMITED NCD 09-09-2021	EPBT	1,500.00	9/8/2016	CARE	AAA	AA+	3/24/2017	
	8.90% RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	3,541.27	1/10/2017	CARE	AAA	AA+	3/24/2017	
	8.50% RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1,502.48	2/7/2017	CARE	AAA	AA+	3/24/2017	
	8.25% RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1,000.00	2/17/2017	CARE	AAA	AA+	3/24/2017	
	9.18% SAIL NCD 27-08-2017	EPBF	998.00	11/12/2013	CRISIL	AAA	AA+	3/16/2016	
	9.36% SESA STERLITE LTD NCD 30-10-2017	OLDB	998.56	11/11/2014	CRISIL	AA+	AA-	6/30/2016	
	9.10% STERLITE INDUSTRIES (INDIA) LIMITED NCD 05-04-2023	OLDB	498.72	1/7/2015	CRISIL	AA+	AA-	6/30/2016	

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 26-04-2017

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

Signature _____
Full Name and Designation