PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B



Name of the Insurer: BHARTI AXA General Insurance Company Limited
Registration No: 139 and Date of Registration with the IRDA: 27th June 2008

Statement as on: 30th Sep 2017

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

Statement as on: 30/9/2017

Date: As on 30th September 2017

Rs. In Lakhs

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	316,112.22
2	Loans	9	-
3	Fixed Assets	10	1,093.68
4	Current Assets		-
	a. Cash & Bank Balance	11	7,664.13
	b. Advances & Other Assets	12	43,560.11
5	Current Liabilities		-
	a. Current Liabilities	13	231,379.27
	b. Provisions	14	59,374.47
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		124,194.92
	Application of Funds as per Balance Sheet (A)		(46,518.52)

	Less: Other Assets	SCH++	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1,093.68
3	Cash & Bank Balance (if any)	11	7,664.13
4	Advances & Other Assets (if any)	12	43,560.11
5	Current Liabilities	13	231,379.27
6	Provisions	14	59,374.47
7	Misc. Exp not Written Off	15	-
8	Investments held outside India		
9	Debit Balance of P&L A/c		124,195
		TOTAL (B)	(362,630.73)
	'Investment Assets' As per FORM 3B	(A-B)	316,112.22

Section II

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value (h)
			Balance	FRSM [†]						

			(a)	(b)	(c)	d = (a+b+c)	e = (d-a) %	(f)	(g)=(d+f)	
1	Central Govt. Securities	Not less than 20%	-	-	72,598.64	72,598.64	23.00%		72,598.64	74,159.00
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%	-	-	103,620.93	103,620.93	32.83%		103,620.93	106,065.75
3	Investment subject to Exposure Norms		-	-	-					-
	a. Housing / Infra & Loans to SG for Housing and FFE	Not less than 15%	-	-	-					-
	1. Approved Investments		-	-	114,795.88	114,795.88	36.38%		114,795.88	118,085.57
	2. Other Investments		-	-	-	-				-
	b. Approved Investments	Not exceeding 55%	-	272.98	96,397.52	96,670.50	30.63%	524.90	97,195.41	98,524.03
	c. Other Investments		-	-	500.00	500.00	0.16%		500.00	500.00
	Investment Assets	100%	-	272.98	315,314.33	315,587.31	100%	524.90	316,112.22	323,175.35

Certification:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 4/7/2017 Signature:

Full name: Mr. Manoj Jaju
Authorised Signa Chief of Investment

Note: 1. (+) FRSM refers 'Funds representing Solvency Margin'

- 2. Other Investments' are as permitted under 27A(2)
- 3. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
- 4. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
- 5. SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations