PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENT-2

Name of the Insurer: BHARTI AXA General Insurance Company Limited

Registration No: 139 and Date of Registration with the IRDA : 27th June 2008

Statement as on: 30th September 2017

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : Total Investments

Date: As on 30th September 2017

Rs. Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	*During the Quarter [1]*								
B.	As on Date [2]								
	9.12% RELIANCE CAPITAL LIMITED NCD 29-06-2020	EPBT	1,500.00	6/29/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 20-12-2018 I	EPBT	1,000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 18-12-2018 II	EPBT	1,000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.90% RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	4,032.26	1/10/2017	CARE	AAA	AA+	3/24/2017	
	8.50% RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1,503.02	2/7/2017	CARE	AAA	AA+	3/24/2017	
	8.25% RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1,000.00	2/17/2017	CARE	AAA	AA+	3/24/2017	
	9.36% SESA STERLITE LTD NCD 30-10-2017	EPBT	999.47	11/11/2014	CRISIL	AA+	AA	6/30/2016	
	9.10% STERLITE INDUSTRIES (INDIA) LIMITED NCD 05-04-2023	EPBT	498.61	1/7/2015	CRISIL	AA+	AA	6/30/2016	

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my
knowledge and belief and nothing has been concealed or suppressed.

Signature _____

Date:

Full Name and Designation

Note:

1 *Provide details of Down Graded Investments during the Quarter.*

2 *Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.*

3 *FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.*

4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority