

# PERIODIC DISCLOSURES

## FORM NL-37-DOWN GRADING OF INVESTMENT-2



Name of the Insurer: BHARTI AXA General Insurance Company Limited  
Registration No: 139 and Date of Registration with the IRDA : 27th June 2008

PART - A

(Read with Regulation 10)

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Registration Number: 139

Statement as on: 31st December 2017

Name of Fund PH +SH

Date: 31st December 2017

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>	-	-	-	-	-	-	-	
B.	<u>As on Date</u> <sup>2</sup>								
	9.12% RELIANCE CAPITAL LIMITED NCD 29-06-2020	EPBT	1,500.00	6/29/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 20-12-2018 I	EPBT	1,000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 18-12-2018 II	EPBT	1,000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.90% RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	4,031.43	1/10/2017	CARE	AAA	AA+	3/24/2017	
	8.50% RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1,502.54	2/7/2017	CARE	AAA	AA+	3/24/2017	
	8.25% RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1,000.00	2/17/2017	CARE	AAA	AA+	3/24/2017	
	9.10% STERLITE INDUSTRIES (INDIA) LIMITED NCD 05-04-2023	EPBT	499.01	1/7/2015	CRISIL	AA+	AA	6/30/2016	

### CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30/01/2018

Signature

Full Name Mr. Nilesh Kambli

Authorised Sign: Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority