

**PERIODIC DISCLOSURES**

**FORM NL-28-STATEMENT OF ASSETS - 3B**



Name of the Insurer: BHARTI AXA General Insurance Company Limited  
 Registration No: 139 and Date of Registration with the IRDAI : 27th June 2008  
 Statement as on: 30th June 2018

(Read with Regulation 10)

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Registration Number: 139

Statement as on: 30th June 2018

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)

(Business within India)

Periodicity of Submission: Quarterly

Date: 30th June 2018

**PART - A**

Rs. Crore

**Section I**

| No | PARTICULARS  | SCH ++ | AMOUNT          |
|----|--|--------|-----------------|
| 1  | Investments  | 8      | 3,360.72        |
| 2  | Loans  | 9      | -               |
| 3  | Fixed Assets   | 10     | 20.12           |
| 4  | <b>Current Assets</b>                                |        |                 |
|    | a. Cash & Bank Balance                               | 11     | 75.99           |
|    | b. Advances & Other Assets                           | 12     | 511.43          |
| 5  | <b>Current Liabilities</b>                           |        |                 |
|    | a. Current Liabilities                               | 13     | 2,583.02        |
|    | b. Provisions  | 14     | 647.61          |
|    | c. Misc. Exp not Written Off                         | 15     | -               |
|    | d. Debit Balance of P&L A/c                          |        | 1,272.62        |
|    | <b>Application of Funds as per Balance Sheet (A)</b> |        | <b>(534.99)</b> |

|   | Less: Other Assets                        | SCH ++       | Amount            |
|---|---|--------------|-------------------|
| 1 | Loans (if any)                            | 9            | -                 |
| 2 | Fixed Assets (if any)                     | 10           | 20.12             |
| 3 | Cash & Bank Balance (if any)              | 11           | 75.99             |
| 4 | Advances & Other Assets (if any)          | 12           | 511.43            |
| 5 | Current Liabilities                       | 13           | 2,583.02          |
| 6 | Provisions                                | 14           | 647.61            |
| 7 | Misc. Exp not Written Off                 | 15           | -                 |
| 8 | Investments held outside India            |              |                   |
| 9 | Debit Balance of P&L A/c                  |              | 1,273             |
|   | <b>Total (B)</b>                          |              | <b>(3,895.71)</b> |
|   | <b>'Investment Assets' As per FORM 3B</b> | <b>(A-B)</b> | <b>3,360.72</b>   |

**Section II**

| No | 'Investment' represented as  | Reg. %            | SH       |             | PH              | Book Value (SH + PH) | % Actual    | FVC Amount    | Total           | Market Value (h) |
|----|--|-------------------|----------|-------------|-----------------|----------------------|-------------|---------------|-----------------|------------------|
|    |  |                   | Balance  | FRSM*       |                 |                      |             |               |                 |                  |
|    |  |                   | (a)      | (b)         | (c)             | d = (a+b+c)          | e = (d-a) % | (f)           | (g)=(d+f)       |                  |
| 1  | Central Govt. Securities   | Not less than 20% |          | -           | 718.02          | 718.02               | 21.34%      |               | 718.02          | 690.54           |
| 2  | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | Not less than 30% |          | -           | 1,038.35        | 1,038.35             | 30.87%      |               | 1,038.35        | 1,006.19         |
| 3  | <b>Investment subject to Exposure Norms</b>                                    |                   |          | -           | -               |                      |             |               |                 | -                |
|    | a. Housing / Infra & Loans to SG for Housing and FFE                           |                   |          | -           | -               |                      |             |               |                 | -                |
|    | 1. Approved Investments  | Not less than 15% |          | -           | 1,342.64        | 1,342.64             | 39.91%      |               | 1,342.64        | 1,327.86         |
|    | 2. Other Investments   |                   |          | -           | -               | -                    |             |               |                 | -                |
|    | b. Approved Investments  | Not exceeding 55% |          | 2.06        | 964.66          | 966.72               | 28.74%      | (3.18)        | 963.54          | 961.23           |
|    | c. Other Investments   |                   |          | -           | 16.22           | 16.22                | 0.48%       | (0.02)        | 16.20           | 16.20            |
|    | <b>Investment Assets</b>   | <b>100%</b>       | <b>-</b> | <b>2.06</b> | <b>3,361.86</b> | <b>3,363.92</b>      | <b>100%</b> | <b>(3.20)</b> | <b>3,360.72</b> | <b>3,311.48</b>  |

**Certification:**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

**Date: 30/07/2018**

**Signature:**

**Full name:**

**Authorised Signatory**

Mr. Nilesh Kambli

Chief of Finance

Note: 1. (+) FRSM refers 'Funds representing Solvency Margin'

2. Other Investments' are as permitted under 27A(2)

3. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

4. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

5. SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations