

PERIODIC DISCLOSURES

FORM NL-34 : Board of Directors & Key Management Persons



Name of the Insurer: BHARTI AXA General Insurance Company Limited

Registration No: 139 and Date of Registration with the IRDAI : 27th June 2008

Sl. No.	Name of the Person	Role / Designation	Details of Change in the Quarter ending 30th September
Board of Directors :			
2	Mr. Rakesh Bharti Mittal	Director	Nil Change
3	Mr. Bharat Sumant Raut	Independent Director	Nil Change
4	Mr. Jitender Balakrishnan	Independent Director	Nil Change
5	Ms. Uma Relan	Independent Director	Nil Change
6	Mr. Jean-Baptiste Bois	Director	Nil Change
7	Mr. Harjeet Singh Kohli	Director	Nil Change
8	Mr. Jean Paul DominiqueLouis Drouffe	Director	Nil Change
9	Mr. Soumen Ghosh	Director	Nil Change
10	Mr. Sanjeev Srinivasan	CEO & MD	Nil Change
11	Mr. Kuldeep Kaushik	Director	Nil Change
Key Managerial Persons			
1	Mr. Sanjeev Srinivasan	CEO & MD	Nil Change
2	Mr. Nilesh Kambli	Chief Financial Officer	Nil Change
3	Mr. Nilesh Kambli	Chief Risk Officer	Nil Change
4	Mr. Parag Gupta	Chief Underwriting Officer	Nil Change
5	Mr. Saurav Jaiswal	Head Retail Distribution Officer	Nil Change
6	Mr. Manoj Jaju	Chief Investment Officer	Nil Change
7	Mr. Amit Raheja	Head of Legal, Compliance and Secretarial	Nil Change
8	Mr. Rohit Kohli	Head Operations	Nil Change
9	Mr. Sandeep Kumar Sarkar	Head Human Resources	Nil Change
10	Mr. Syed Arif	Head Information Technology	Nil Change
11	Mr. Upendra Namburi	Chief Innovation and Marketing Officer	Resigned Effective 31st Aug, 2018

Key Managerial Persons as stated in IRDAI Guidelines for Corporate Governance for Insurers in India 2016

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 Details of Change in the Quarter ending 30th September

DETAILS OF NON-PERFORMING ASSETS - 30th September-2018
 Name of the Insurer: Bharti AXA General Insurance Co. Ltd.
 Registration No: 139

Name of the Fund PH+SH
 Date: 30th September 2018
 Rs.Lakhs

NO	PARTICULARS	Bonds / Debentures		Loans		Other Debt instruments		All Other Assets		TOTAL	
		YTD (As on date)	Prev. FY (As on 31 Mar 2018)	YTD (As on date)	Prev. FY (As on 31 Mar 2018)	YTD (As on date)	Prev. FY (As on 31 Mar 2018)	YTD (As on date)	Prev. FY (As on 31 Mar 2018)	YTD (As on date)	Prev. FY (As on 31 Mar 2018)
1	Investments Assets (As per Form 5)	223378.99	205422.31	0	0	1600.00	4625.42	125418.94	110521.01	350397.93	320568.74
2	Gross NPA	0	0	0	0	0	0	0	0	0	0
3	% of Gross NPA on Investment Assets (2/1)	0	0	0	0	0	0	0	0	0	0
4	Provision made on NPA	0	0	0	0	0	0	0	0	0	0
5	Provision as a % of NPA (4/2)	0	0	0	0	0	0	0	0	0	0
6	Provision on Standard Assets	0	0	0	0	0	0	0	0	0	0
7	Net Investment Assets (1-4)	223378.99	205422.31	0.00	0.00	1600.00	4625.42	125418.94	110521.01	350397.93	320568.74
8	Net NPA (2-4)	0	0	0	0	0	0	0	0	0	0
9	% of Net NPA to Net Investment Assets (8/7)	0	0	0	0	0	0	0	0	0	0
10	Write off made during the period	0	0	0	0	0	0	0	0	0	0

Certification

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various investments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended

Signature:

Full Name

Authorised Signatory

Mr. Nilesh Kambli

Chief Financial Officer

Note:

1. The above statement, in the case of 'Life' Insurers shall be prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
2. Total Investment Assets should reconcile with figures shown in Form 3A / 3B
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
5. Net Investment assets is net of 'provisions'
7. Write off as approved by the Board

Details of Change in the Quarter ending 30th September

Periodicity of Submission: Quarterly

Date: 30th September 2019

Rs lakhs

Date:30-09-2018

Signature _____
Full Name **Mr. Nilesh Kamble**
Authorised Signator **Chief Financial Officer**

3 Yield netted for Tax
FORM 1 shall be prepared in respect of each fund.

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Details of Change in the Quarter ending 30th September

PART - A

(Read with Regulation 10)

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Date: 30th September 2018

Statement as on: 30th September 2018

Name of Fund PH +SH

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter ¹</u>	-	-	-	-	-	-	-	
	8.54% INR ILFS NCD 08-11-2019	OLDB	1000.01	2/22/2017	CARE	AAA	D	9/17/2018	
	8.78% INR ILFS NCD 28-06-2021	OLDB	1000.00	6/28/2016	CARE	AAA	D	9/17/2018	
	8.90% INR ILFS NCD 04-02-2019	OLDB	2000.00	3/28/2016	CARE	AAA	D	9/17/2018	
	9.50% INR ILFS NCD 03-07-2019	OLDB	500.00	7/3/2014	CARE	AAA	D	9/17/2018	
	9.95% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	501.06	3/28/2016	CARE	AAA	D	9/17/2018	
	8.80% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	1000.00	9/21/2015	ICRA	AAA	D	9/17/2018	
	9.90% INR ILFS NCD 28-01-2019	OLDB	750.00	1/28/2014	INDIA RATINGS	AAA	D	9/18/2018	
B.	<u>As on Date ²</u>								
	9.12% RELIANCE CAPITAL LIMITED NCD 29-06-2020	EPBT	1500.00	6/29/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 20-12-2018 I	EPBT	1000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 18-12-2018 II	EPBT	1000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.90% RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	4028.08	1/10/2017	CARE	AAA	AA+	3/24/2017	
	8.50% RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1501.67	2/7/2017	CARE	AAA	AA+	3/24/2017	
	8.25% RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1000.00	2/17/2017	CARE	AAA	AA+	3/24/2017	
	8.54% INR ILFS NCD 08-11-2019	OLDB	1000.01	2/22/2017	CARE	AAA	D	9/17/2018	
	8.78% INR ILFS NCD 28-06-2021	OLDB	1000.00	6/28/2016	CARE	AAA	D	9/17/2018	
	8.90% INR ILFS NCD 04-02-2019	OLDB	2000.00	3/28/2016	CARE	AAA	D	9/17/2018	
	9.50% INR ILFS NCD 03-07-2019	OLDB	500.00	7/3/2014	CARE	AAA	D	9/17/2018	
	9.95% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	501.06	3/28/2016	CARE	AAA	D	9/17/2018	
	8.80% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	1000.00	9/21/2015	ICRA	AAA	D	9/17/2018	
	9.90% INR ILFS NCD 28-01-2019	OLDB	750.00	1/28/2014	INDIA RATINGS	AAA	D	9/18/2018	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30/09/2018

Signature

Note:

Full Name

Mr. Nilesh Kambli

1 Provide details of Down Graded Investments during the Quarter.

Authorised Signatory Chief Financial Officer

2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.

3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority