

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENT-2



Name of the Insurer: BHARTI AXA General Insurance Company Limited
Registration No: 139 and Date of Registration with the IRDAI : 27th June 2008

(Read with Regulation 10)

Details of Change in the Quarter ending 30th September

PART - A

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Date: 30th September 2018

Statement as on: 30th September 2018

Name of Fund PH +SH

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter ¹</u>	-	-	-	-	-	-	-	
	8.54% INR ILFS NCD 08-11-2019	OLDB	1000.01	2/22/2017	CARE	AAA	D	9/17/2018	
	8.78% INR ILFS NCD 28-06-2021	OLDB	1000.00	6/28/2016	CARE	AAA	D	9/17/2018	
	8.90% INR ILFS NCD 04-02-2019	OLDB	2000.00	3/28/2016	CARE	AAA	D	9/17/2018	
	9.50% INR ILFS NCD 03-07-2019	OLDB	500.00	7/3/2014	CARE	AAA	D	9/17/2018	
	9.95% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	501.06	3/28/2016	CARE	AAA	D	9/17/2018	
	8.80% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	1000.00	9/21/2015	ICRA	AAA	D	9/17/2018	
	9.90% INR ILFS NCD 28-01-2019	OLDB	750.00	1/28/2014	INDIA RATINGS	AAA	D	9/18/2018	
B.	<u>As on Date ²</u>								
	9.12% RELIANCE CAPITAL LIMITED NCD 29-06-2020	EPBT	1500.00	6/29/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 20-12-2018 I	EPBT	1000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.80% RELIANCE CAPITAL LIMITED NCD 18-12-2018 II	EPBT	1000.00	10/20/2015	CARE	AAA	AA+	3/24/2017	
	8.90% RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	4028.08	1/10/2017	CARE	AAA	AA+	3/24/2017	
	8.50% RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1501.67	2/7/2017	CARE	AAA	AA+	3/24/2017	
	8.25% RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1000.00	2/17/2017	CARE	AAA	AA+	3/24/2017	
	8.54% INR ILFS NCD 08-11-2019	OLDB	1000.01	2/22/2017	CARE	AAA	D	9/17/2018	
	8.78% INR ILFS NCD 28-06-2021	OLDB	1000.00	6/28/2016	CARE	AAA	D	9/17/2018	
	8.90% INR ILFS NCD 04-02-2019	OLDB	2000.00	3/28/2016	CARE	AAA	D	9/17/2018	
	9.50% INR ILFS NCD 03-07-2019	OLDB	500.00	7/3/2014	CARE	AAA	D	9/17/2018	
	9.95% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	501.06	3/28/2016	CARE	AAA	D	9/17/2018	
	8.80% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	1000.00	9/21/2015	ICRA	AAA	D	9/17/2018	
	9.90% INR ILFS NCD 28-01-2019	OLDB	750.00	1/28/2014	INDIA RATINGS	AAA	D	9/18/2018	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 30/09/2018

Signature

Full Name

Mr. Nilesh Kambli

Note:

1 Provide details of Down Graded Investments during the Quarter.

Authorised Signatory Chief Financial Officer

2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.

3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority
