

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENT



Name of the Insurer: **BHARTI AXA General Insurance Company Limited**
 Registration No: 139 and Date of Registration with the IRDAI : 27th June 2008

(Read with Regulation 10)

PART - A

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Registration Number: 139

Date: 31st December 2018

Statement as on: 31st December 2018

Name of Fund PH +SH

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>¹	-	-	-	-	-	-	-	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1000.00	17-02-2017	CARE	AA+	AA	08-10-2018	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1501.70	05-01-2017	CARE	AA+	AA	08-10-2018	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	4022.86	08-09-2016	CARE	AA+	AA	08-10-2018	
	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-06-2020	EPBT	1500.00	29-06-2015	CARE	AA+	AA	08-10-2018	
	8.64% INR IDFC BANK LIMITED NCD 15-04-2020 1	EPBT	1500.00	30-09-2015	ICRA	AAA	AA+	14-11-2018	
	8.65% INR IDFC BANK LIMITED NCD 24-05-2020 1	EPBT	499.31	30-09-2015	ICRA	AAA	AA+	14-11-2018	
	8.89% INR IDFC BANK LIMITED NCD 02-12-2020 1	EPBT	495.80	30-09-2015	ICRA	AAA	AA+	14-11-2018	
	8.35% INR Bharti Airtel Ltd NCD 20-04-2021	ICTD	2500.00	14-03-2018	CRISIL	AA+	AA	15-11-2018	
	9.00% INR YES BANK LIMITED NCD 18-10-2050	OAPB	4498.02	18-10-2017	ICRA	AA	AA-	28-11-2018	
B.	<u>As on Date</u>²								
	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-06-2020	EPBT	1500.00	29-06-2015	CARE	AAA	AA+	24-03-2017	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	4022.86	08-09-2016	CARE	AAA	AA+	24-03-2017	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1501.67	05-01-2017	CARE	AAA	AA+	24-03-2017	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1000.00	17-02-2017	CARE	AAA	AA+	24-03-2017	
	8.54% INR ILFS NCD 08-11-2019	OLDB	1000.01	22-02-2017	CARE	AAA	D	17-09-2018	
	8.78% INR ILFS NCD 28-06-2021	OLDB	1000.00	28-06-2016	CARE	AAA	D	17-09-2018	
	8.90% INR ILFS NCD 04-02-2019	OLDB	2000.00	28-03-2016	CARE	AAA	D	17-09-2018	
	9.50% INR ILFS NCD 03-07-2019	OLDB	500.00	03-07-2014	CARE	AAA	D	17-09-2018	
	9.95% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	501.06	28-03-2016	CARE	AAA	D	17-09-2018	
	8.80% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NC	OLDB	1000.00	21-09-2015	ICRA	AAA	D	17-09-2018	
	9.90% INR ILFS NCD 28-01-2019	OLDB	750.00	28-01-2014	INDIA RATINGS	AAA	D	18-09-2018	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1000.00	17-02-2017	CARE	AA+	AA	08-10-2018	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1501.70	05-01-2017	CARE	AA+	AA	08-10-2018	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	4022.86	08-09-2016	CARE	AA+	AA	08-10-2018	

	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-02-2020	EPBT	1500.00	29-06-2015	CARE	AA+	AA	08-10-2018
	8.64% INR IDFC BANK LIMITED NCD 15-04-2020 1	EPBT	1500.00	30-09-2015	ICRA	AAA	AA+	14-11-2018
	8.65% INR IDFC BANK LIMITED NCD 24-05-2020 1	EPBT	499.31	30-09-2015	ICRA	AAA	AA+	14-11-2018
	8.89% INR IDFC BANK LIMITED NCD 02-12-2020 1	EPBT	495.80	30-09-2015	ICRA	AAA	AA+	14-11-2018
	8.35% INR Bharti Airtel Ltd NCD 20-04-2021	ICTD	2500.00	14-03-2018	CRISIL	AA+	AA	15-11-2018
	9.00% INR YES BANK LIMITED NCD 18-10-2050	OAPB	4498.02	18-10-2017	ICRA	AA	AA-	28-11-2018

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date:

Signature

Full Name

Authorised Signatory

Mr. Nilesh Kambli

Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority