

Name of Fund PH +SH

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A. During the Quarter ¹									
	0.00% INR DEWAN HOUSING FINANCE CORPORATION LTD ZCB 30-04-2019 1	OLD8	3,303	28-03-2016	CARE	AA-	A	01-04-2019	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	OLD8	1,000	17-02-2017	CARE	A+	A	21-04-2019	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	OLD8	1,000	17-02-2017	CARE	A+	BBB	19-05-2019	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	OLD8	1,501	05-01-2017	CARE	A+	A	21-04-2019	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	OLD8	1,501	05-01-2017	CARE	A	BBB	19-05-2019	
	8.64% INR IDFC BANK LIMITED NCD 15-04-2020 1	EPBT	1,500	30-09-2015	ICRA	AA+	AA	21-05-2019	
	8.65% INR IDFC BANK LIMITED NCD 24-05-2020 1	EPBT	500	30-09-2015	ICRA	AA+	AA	21-05-2019	
	8.89% INR IDFC BANK LIMITED NCD 02-12-2020 1	EPBT	496	30-09-2015	ICRA	AA+	AA	21-05-2019	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	OLD8	4,019	08-09-2016	CARE	A+	A	21-04-2019	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	OLD8	4,019	08-09-2016	CARE	A	BBB	19-05-2019	
	9.00% INR YES BANK LIMITED NCD 18-10-2050	OAPB	4,497	18-10-2017	ICRA	AA+	A	05-05-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2019	OLD8	1,999	23-03-2018	CARE	AA-	A	01-04-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2019	OLD8	1,999	23-03-2018	CARE	A	BBB-	14-05-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2019	OLD8	1,999	23-03-2018	CARE	BBB-	D	05-06-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	2,521	13-12-2017	CARE	AA-	A	01-04-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	2,521	13-12-2017	CARE	A	BBB-	14-05-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	2,521	13-12-2017	CARE	BBB-	D	05-06-2019	
	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-06-2020	OLD8	1,500	29-06-2015	CARE	A+	A	21-04-2019	
	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-06-2020	OLD8	1,500	29-06-2015	CARE	A	BBB	19-05-2019	
	9.45% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 08-09-2020	OLD8	1,000	08-09-2015	CARE	AA-	A	01-04-2019	
	9.45% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 08-09-2020	OLD8	1,000	08-09-2015	CARE	A	BBB-	14-05-2019	
	9.45% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 08-09-2020	OLD8	1,000	08-09-2015	CARE	BBB-	D	05-06-2019	
	9.75% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 18-11-2019	OLD8	3,494	19-11-2014	CARE	AA+	A	01-04-2019	
	9.75% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 18-11-2019	OLD8	3,494	19-11-2014	CARE	A	BBB-	14-05-2019	
	9.75% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 18-11-2019	OLD8	3,494	19-11-2014	CARE	BBB-	D	05-06-2019	
B. As on Date ²									
	0.00% INR DEWAN HOUSING FINANCE CORPORATION LTD ZCB 30-04-2019 1	OLD8	3,303	28-03-2016	CARE	AAA	AA+	03-02-2019	
	0.00% INR DEWAN HOUSING FINANCE CORPORATION LTD ZCB 30-04-2019 1	OLD8	3,303	28-03-2016	CARE	AA+	AA-	06-03-2019	
	0.00% INR DEWAN HOUSING FINANCE CORPORATION LTD ZCB 30-04-2019 1	OLD8	3,303	28-03-2016	CARE	AA-	A	31-03-2019	
	0.00% INR DEWAN HOUSING FINANCE CORPORATION LTD ZCB 30-04-2019 1	OLD8	3,303	28-03-2016	CARE	AA-	A	01-04-2019	
	7.53% INR PNB HOUSING FINANCE LTD NCD 31-12-2020	EPBT	2,500	02-11-2017	INDIA RATINGS	AAA	AA+	09-01-2019	
	8.15% INR ENERGY EFFICIENCY SERVICES LIMITED NCD 10-02-2021	OLD8	2,500	10-01-2018	ICRA	AA	AA-	03-01-2019	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1,000	17-02-2017	CARE	AAA	AA+	24-03-2017	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	EPBT	1,000	17-02-2017	CARE	AA+	AA	08-10-2018	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	OLD8	1,000	17-02-2017	CARE	AA	A+	06-03-2019	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	OLD8	1,000	17-02-2017	CARE	A+	A	21-04-2019	
	8.25% INR RELIANCE CAPITAL LIMITED NCD 14-04-2020	OLD8	1,000	17-02-2017	CARE	A	BBB	19-05-2019	
	8.29% INR ENERGY EFFICIENCY SERVICES LIMITED NCD 28-05-2021	OLD8	2,500	29-01-2018	ICRA	AA+	A	03-01-2019	
	8.35% INR Bharti Airtel Ltd NCD 20-04-2021	ICTO	2,500	14-03-2018	CRISIL	AA+	AA	15-11-2018	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1,501	05-01-2017	CARE	AAA	AA+	24-03-2017	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	EPBT	1,501	05-01-2017	CARE	AA+	AA	08-10-2018	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	OLD8	1,501	05-01-2017	CARE	AA	A+	06-03-2019	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	OLD8	1,501	05-01-2017	CARE	A+	A	21-04-2019	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	OLD8	1,501	05-01-2017	CARE	A	BBB	19-05-2019	
	8.64% INR ILFS NCD 08-11-2019	OLD8	1,000	22-02-2017	CARE	AAA	AA	17-09-2018	
	8.64% INR IDFC BANK LIMITED NCD 15-04-2020 1	EPBT	1,500	30-09-2015	ICRA	AA+	AA	14-11-2018	
	8.64% INR IDFC BANK LIMITED NCD 15-04-2020 1	EPBT	1,500	30-09-2015	ICRA	AA+	AA	21-05-2019	
	8.65% INR IDFC BANK LIMITED NCD 24-05-2020 1	EPBT	500	30-09-2015	ICRA	AAA	AA+	14-11-2018	
	8.65% INR IDFC BANK LIMITED NCD 24-05-2020 1	EPBT	500	30-09-2015	ICRA	AA+	AA	21-05-2019	
	8.78% INR ILFS NCD 28-06-2021	OLD8	1,000	28-06-2016	CARE	AAA	D	17-09-2018	
	8.80% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NCD 21-09-2020	OLD8	1,000	21-09-2015	ICRA	AAA	D	17-09-2018	
	8.89% INR IDFC BANK LIMITED NCD 02-12-2020 1	EPBT	496	30-09-2015	ICRA	AAA	AA+	14-11-2018	
	8.89% INR IDFC BANK LIMITED NCD 02-12-2020 1	EPBT	496	30-09-2015	ICRA	AA+	AA	21-05-2019	
	8.90% INR ILFS NCD 04-02-2019	OLD8	2,000	29-03-2016	CARE	AAA	D	17-09-2018	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	4,020	08-09-2016	CARE	AAA	AA+	24-03-2017	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	EPBT	4,020	08-09-2016	CARE	AA+	AA	08-10-2018	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	OLD8	4,020	08-09-2016	CARE	AA+	A+	06-03-2019	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	OLD8	4,019	08-09-2016	CARE	A+	A	21-04-2019	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	OLD8	4,019	08-09-2016	CARE	A	BBB	19-05-2019	
	9.00% INR YES BANK LIMITED NCD 18-10-2050	OAPB	4,496	18-10-2017	ICRA	AA+	AA-	28-11-2018	
	9.00% INR YES BANK LIMITED NCD 18-10-2050	OAPB	4,497	18-10-2017	ICRA	AA+	A	05-05-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2019	OLD8	2,000	23-03-2018	CARE	AAA	AA+	03-02-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2019	OLD8	2,000	23-03-2018	CARE	AA+	AA-	06-03-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2019	OLD8	2,000	23-03-2018	CARE	AA-	A	31-03-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2019	OLD8	1,999	23-03-2018	CARE	AA-	A	01-04-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2019	OLD8	1,999	23-03-2018	CARE	A	BBB-	14-05-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	1,999	23-03-2018	CARE	BBB-	D	05-06-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	2,521	13-12-2017	CARE	AAA	AA+	03-02-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	2,521	13-12-2017	CARE	AA+	A	06-03-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	2,521	13-12-2017	CARE	AA	A	31-03-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	2,521	13-12-2017	CARE	AA-	A	01-04-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	2,521	13-12-2017	CARE	A	BBB-	14-05-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLD8	2,521	13-12-2017	CARE	BBB-	D	05-06-2019	
	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-02-2020	EPBT	1,500	29-06-2015	CARE	AA+	AA	24-03-2017	
	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-02-2020	EPBT	1,500	29-06-2015	CARE	AA+	AA	08-10-2018	
	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-02-2020	OLD8	1,500	29-06-2015	CARE	AA	A+	06-03-2019	
	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-06-2020	OLD8	1,500	29-06-2015	CARE	A+	A	21-04-2019	
	9.12% INR RELIANCE CAPITAL LIMITED NCD 29-06-2020	OLD8	1,500	29-06-2015	CARE	A	BBB	19-05-2019	
	9.45% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 08-09-2020	OLD8	1,000	08-09-2015	CARE	AAA	AA+	03-02-2019	
	9.45% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 08-09-2020	OLD8	1,000	08-09-2015	CARE	AA+	AA-	06-03-2019	
	9.45% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 08-09-2020	OLD8	1,000	08-09-2015	CARE	AA-	A	31-03-2019	
	9.45% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 08-09-2020	OLD8	1,000	08-09-2015	CARE	A	BBB-	14-05-2019	
	9.45% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 08-09-2020	OLD8	1,000	08-09-2015	CARE	BBB-	D	05-06-2019	
	9.50% INR ILFS NCD 03-07-2019	OLD8	500	03-07-2014	CARE	AAA	D	17-09-2018	
	9.75% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 18-11-2019	OLD8	3,496	19-11-2014	CARE	AAA	AA+	03-02-2019	
	9.75% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 18-11-2019	OLD8	3,496	19-11-2014	CARE	AA+	AA-	06-03-2019	
	9.75% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 18-11-2019	OLD8	3,496	19-11-2014	CARE	AA-	A	31-03-2019	
	9.75% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 18-11-2019	OLD8	3,494	19-11-2014	CARE	AA+	A	01-04-2019	
	9.75% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 18-11-2019	OLD8	3,494	19-11-2014	CARE	A	BBB-	14-05-2019	
	9.75% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 18-11-2019	OLD8	3,494	19-11-2014	CARE	BBB-	D	05-06-2019	
	9.90% INR ILFS NCD 28-01-2019	OLD8	750	28-01-2014	INDIA RATINGS	AAA	D	18-09-2018	
	9.95% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NCD 04-02-2019	OLD8	501	28-03-2016	CARE	AAA	D	17-09-2018	

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date:

Signature
Full Name
Authorised Signatory

Mr. Nilesh Kamli
Chief of Finance

Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFNI) level and also at consolidated level.
4. Category of Investment (COI) shall be as per Guidelines issued by the Authority