

FORM NL-28-STATEMENT OF ASSETS - 3B

(Read with Regulation 10)

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Registration Number: 139

Statement as on: 31st Dec 2019

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)

(Business within India)

Periodicity of Submission: Quarterly

PART - A

Rs Lakhs

Section I

No	PARTICULARS	SCH ++	AMOUNT
1	Investments*	8	4,96,839.76
2	Loans	9	-
3	Fixed Assets	10	1,828.00
4	Current Assets		
	a. Cash & Bank Balance	11	3,714.03
	b. Advances & Other Assets	12	78,157.01
5	Current Liabilities		
	a. Current Liabilities	13	4,00,652.55
	b. Provisions	14	95,452.69
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		1,41,591.29
Application of Funds as per Balance Sheet (A)			<u>(57,157.73)</u>

No	Less: Other Assets	SCH ++	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1,828.00
3	Cash & Bank Balance (if any)	11	3,714.03
4	Advances & Other Assets (if any)	12	78,157.01
5	Current Liabilities	13	4,00,652.55
6	Provisions	14	95,452.69
7	Misc. Exp not Written Off	15	-
8	Investments held outside India		
9	Debit Balance of P&L A/c		1,41,591.29
Total		TOTAL (B)	<u>(5,53,997.49)</u>
'Investment Assets' As per FORM 3B		(A-B)	<u>4,96,839.76</u>

Section II

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total*	Market Value	
			Balance	FRSM*							
			(a)	(b)	(c)	d = (a+b+c)	e = (d-a) %	(f)	(g)=(d+f)	(h)	
1	Central Govt. Securities	Not less than 20%		16,724.19	1,15,170.02	1,31,894.21	26.34%	-	1,31,894.21	1,32,075.85	
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%		21,227.92	1,46,184.73	1,67,412.65	33.43%		1,67,412.65	1,68,448.87	
3	Investment subject to Exposure Norms										
	a. Housing / Infra & Loans to SG for Housing and FFE	Not less than 15%									
	1. Approved Investments			24,525.57	1,68,893.76	1,93,419.33	38.63%	(3.47)	1,93,415.86	1,96,833.47	
	2. Other Investments			-	-	-					
	b. Approved Investments	Not exceeding 55%		14,457.85	99,563.08	1,14,020.93	22.77%	342.37	1,14,363.31	1,15,299.23	
	c. Other Investments			3,279.38	22,583.21	25,862.59	5.17%	(114.05)	25,748.53	25,291.00	
Investment Assets			100%	0.00	63490.73	437224.78	500715.50	100%	224.85	500940.35	505872.57

Certification:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date:

Signature:

Full name:
Authorised Signatory

Mr. Nilesh Kambli
Chief of Finance

Note: 1. (+) FRSM refers 'Funds representing Solvency Margin'

2. Other Investments are as permitted under 27A(2)

3. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

4. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

5. SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations

6. * Difference of 4100 lakhs is on account of provision of ILFS, DHFL and Reliance Capital securities.