

Form NI-36-Yield On Investments
Name of the Insurer: Bharti AXA General Insurance Co. Ltd.
Registration Number: 139
Statement as on: 31st December 2019
Statement of Investment and Income on Investment
Periodicity of Submission: Quarterly

Rs lakhs

| No. | Category of Investment | Category | Current Quarter | | | | | Year to Date (current year) | | | | | Year to Date (previous year)3 | | | | | |
|-----|--|----------|-------------------------------|--------------|----------------------------|------------------------------|----------------------------|-------------------------------|--------------|----------------------------|------------------------------|----------------------------|-------------------------------|--------------|----------------------------|------------------------------|----------------------------|--|
| | | | Investment (Rs.) ¹ | | Income on Investment (Rs.) | Gross Yield (%) ² | Net Yield (%) ³ | Investment (Rs.) ¹ | | Income on Investment (Rs.) | Gross Yield (%) ² | Net Yield (%) ³ | Investment (Rs.) ¹ | | Income on Investment (Rs.) | Gross Yield (%) ² | Net Yield (%) ³ | |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | | |
| | | | | | | | | | | | | | | | | | | |
| 1 | Central Government Bonds | CGSB | 1,28,820.07 | 1,29,554.72 | 2,475.46 | 1.92% | 1.92% | 1,10,757.58 | 1,11,841.25 | 7,949.07 | 7.18% | 7.18% | 75,309.37 | 73,216.54 | 4,479.66 | 5.95% | 5.95% | |
| 2 | Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI | ECDB | 2,820.65 | 2,820.65 | 67.20 | 2.38% | 2.38% | 2,820.65 | 2,820.65 | 67.20 | 2.38% | 2.38% | 1,296.44 | 1,296.44 | 93.53 | 7.21% | 7.21% | |
| 3 | Corporate Securities - Debentures | ECOS | 25,680.22 | 26,305.44 | 585.49 | 2.28% | 2.28% | 22,448.60 | 23,192.57 | 2,038.19 | 9.08% | 9.08% | 12,615.73 | 12,528.02 | 943.79 | 7.48% | 7.48% | |
| 4 | Mutual Funds - Gilt / G Sec / Liquid Schemes | EGMF | 22,686.48 | 23,002.09 | 229.16 | 1.01% | 1.01% | 13,806.51 | 13,955.89 | 455.58 | 3.30% | 3.30% | 7,632.78 | 7,644.51 | 460.62 | 6.03% | 6.03% | |
| 5 | Corporate Securities - Bonds - (Tax Free) | EPBF | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% | |
| 6 | Corporate Securities - Bonds - (Taxable) | EPBT | 33,814.51 | 34,485.40 | 667.22 | 1.97% | 1.97% | 33,553.83 | 34,037.31 | 2,090.54 | 6.23% | 6.23% | 49,102.96 | 49,034.23 | 3,125.89 | 6.37% | 6.37% | |
| 7 | Bonds / Debentures issued by NHB / Institutions accredited by NHB | HTDN | 47,186.99 | 47,985.42 | 1,045.49 | 2.22% | 2.22% | 46,350.78 | 47,011.37 | 3,324.18 | 7.17% | 7.17% | 47,321.41 | 47,437.64 | 3,190.55 | 6.74% | 6.74% | |
| 8 | Infrastructure - Other Corporate Securities - Debentures/ Bonds | ICTD | 37,976.40 | 38,911.36 | 784.93 | 2.07% | 2.07% | 38,921.25 | 39,329.90 | 2,418.73 | 6.21% | 6.21% | 43,643.54 | 43,145.70 | 2,576.49 | 6.19% | 6.19% | |
| 9 | Infrastructure - PSU - Debentures / Bonds | IPTD | 88,109.13 | 90,166.30 | 1,702.02 | 1.93% | 1.93% | 78,926.57 | 80,821.92 | 5,716.25 | 7.24% | 7.24% | 46,425.42 | 45,734.02 | 2,953.35 | 6.36% | 6.36% | |
| 10 | Long Term Bank Bonds ApprovedInvestment-Infrastructure | IBBI | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% | |
| 11 | Debentures | OLDB | 23,274.87 | 23,667.38 | 72.48 | -0.31% | -0.31% | 26,471.98 | 26,734.54 | 216.34 | 0.82% | 0.82% | 6,737.38 | 6,431.55 | 262.10 | -3.89% | -3.89% | |
| 12 | Mutual Funds - Debt / Income / Serial Plans / Liquid Schemes | OMMS | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% | |
| 13 | Short term Loans (Unsecured Deposits) | OSLU | - | - | - | 0.00% | 0.00% | 3,000.00 | 3,000.00 | 129.26 | 4.31% | 4.31% | 1,500.00 | 1,500.00 | 92.95 | 6.20% | 6.20% | |
| 14 | State Government Bonds | SGGB | 30,877.69 | 31,769.36 | 599.23 | 1.94% | 1.94% | 31,910.13 | 32,538.43 | 1,857.17 | 5.82% | 5.82% | 32,109.86 | 31,828.49 | 1,875.76 | 5.84% | 5.84% | |
| 15 | COMMERCIAL PAPERS | ECCP | 2,797.59 | 2,715.09 | 52.68 | 1.88% | 1.88% | 2,678.68 | 2,626.71 | 110.18 | 4.11% | 4.11% | 2,496.55 | 2,494.26 | 5.74 | 0.23% | 0.23% | |
| 16 | Corporate Securities - Equity shares (Ordinary) quoted | EACL | 2,093.86 | 2,817.22 | 136.04 | 6.50% | 6.50% | 2,124.36 | 2,241.17 | 271.31 | 12.77% | 12.77% | 2,889.12 | 2,290.36 | 286.94 | 12.53% | 12.53% | |
| 17 | Additional Tier 1 (Basel III compliant) Perpetual bonds - (Private banks) | FAIB | 17,503.83 | 19,078.53 | 398.32 | 2.28% | 2.28% | 16,849.87 | 18,603.46 | 1,143.27 | 6.79% | 6.79% | 17,947.51 | 17,807.49 | 1,211.13 | 6.75% | 6.75% | |
| 18 | INVESTMENT PROPERTIES - IMMOVABLE | EINP | 7,652.70 | 7,652.70 | 134.73 | 1.76% | 1.76% | 7,661.86 | 3,771.87 | 404.60 | 5.28% | 5.28% | 7,810.83 | 2,642.52 | 167.91 | 2.15% | 2.15% | |

| | | | | | | | | | | | | | | | | | |
|-------------|---|-------|-------------|-------------|----------|--------|--------|-------------|-------------|-----------|--------|--------|-------------|-------------|-----------|--------|--------|
| 19 | INFRASTRUCTURE - EQUITY AND EQUITY RELATED INSTRUMENTS (PROMOTER GROUP) | IEPG | 100.37 | 95.68 | 0.23 | 0.23% | 0.23% | 100.37 | 95.68 | 0.23 | 0.23% | 0.23% | - | - | - | 0.00% | 0.00% |
| 20 | TREASURY BILLS | CTRB | 3,628.06 | 3,627.28 | 34.76 | 0.96% | 0.96% | 3,628.06 | 3,627.28 | 34.76 | 0.96% | 0.96% | 2,730.88 | 2,724.40 | 51.31 | 1.88% | 1.88% |
| 21 | INFRASTRUCTURE - PSU - EQUITY SHARES - QUOTED | ITPE | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% | 74.44 | 69.24 | 0.08 | 0.11% | 0.11% |
| 22 | INFRASTRUCTURE - PSU - DEBENTURES / BONDS | IPFD | 12,672.13 | 12,841.47 | 237.04 | 1.87% | 1.87% | 10,366.64 | 10,460.28 | 296.16 | 2.86% | 2.86% | - | - | - | 0.00% | 0.00% |
| 23 | EQUITY SHARES (INCL. CO - DP SOCIETIES) | OESH | 140.80 | 142.42 | 22.40 | 15.91% | 15.91% | 195.98 | 231.80 | 52.81 | 26.95% | 26.95% | 34.71 | 36.36 | 5.06 | 14.57% | 14.57% |
| 24 | PSU - EQUITY SHARES - QUOTED | EAEQ | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% | 29.58 | 28.42 | 0.02 | 0.07% | 0.07% |
| 25 | DEPOSITS - CDS WITH SCHEDULED BANKS | BDCLD | 2,653.21 | 2,626.06 | 38.57 | 1.45% | 1.45% | 2,529.25 | 2,529.05 | 61.66 | 2.49% | 2.49% | 4,338.42 | 4,337.80 | 10.21 | 0.24% | 0.24% |
| 26 | Passively Managed Equity ETF (Non Promoter Group) | OETF | - | - | - | 0.00% | 0.00% | 783.95 | 795.34 | 47.41 | 6.05% | 6.05% | 115.76 | 118.27 | 4.58 | 3.98% | 3.98% |
| 27 | Additional Tier 1 (Basel III Compliant) Perpetual Bonds – (Private Banks) | OAPB | 4,499.18 | 4,570.70 | 99.91 | 2.22% | 2.22% | 4,497.87 | 4,684.61 | 306.23 | 6.81% | 6.81% | 4,498.55 | 4,319.94 | 33.36 | 0.74% | 0.74% |
| 28 | Infrastructure - Other Corporate Securities - CPs | ICCP | - | - | - | 0.00% | 0.00% | 1,500.00 | 1,498.28 | 0.43 | 0.03% | 0.03% | 2,495.66 | 2,491.82 | 7.22 | 0.29% | 0.29% |
| 29 | Central Government Guaranteed Loans / Bonds | CIGL | 4,995.60 | 4,996.00 | 19.21 | 0.38% | 0.38% | 4,995.60 | 4,996.00 | 19.21 | 0.38% | 0.38% | 2,015.80 | 2,015.80 | 0.83 | 0.04% | 0.04% |
| 30 | COMMERCIAL PAPERS- NHB | HTLN | 4,999.66 | 4,998.63 | 1.37 | 0.03% | 0.03% | 4,999.66 | 4,998.63 | 1.37 | 0.03% | 0.03% | - | - | - | 0.00% | 0.00% |
| Grand Total | | | 5,04,981.80 | 5,14,629.59 | 9,259.00 | | | 4,71,929.91 | 4,76,713.97 | 29,012.12 | | | 3,68,573.70 | 3,59,237.89 | 21,314.87 | | |

CERTIFICATION
Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:

Signature

Full Name

Authorised Signatory

Mr. Nilesh Kamble

Chief of Finance

- Note:
- 1 Category of Investment (COI) shall be as per Guidelines
- 2 To be calculated based on Monthly or lesser 'Frequency Weighted Average' of Investments
- 3 Yield netted for Tax