

NL 37-Downgrading of Investment

(Read with Regulation 10)

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Registration Number: 139

Statement as on: 30th June 2020

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART - A

Name of Fund PH +SH

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
B.	<u>As on Date ²</u>								
	8.35% INR Bharti Airtel Ltd NCD 20-04-2021	ICTD	2,500.00	14-03-2018	CRISIL	AA+	AA	15-11-2018	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	OLDB	1,500.08	05-01-2017	CARE	AAA	D	23-09-2019	
	7.53% INR PNB HOUSING FINANCE LTD NCD 31-12-2020	EPBT	2,500.00	02-11-2017	INDIA RATINGS	AAA	AA	06-03-2020	
	8.78% INR ILFS NCD 28-06-2021	OLDB	1,000.00	28-06-2016	CARE	AAA	D	17-09-2018	
	8.80% INR INFRASTRUCTURE LEASING & FINANCIAL SERVICES LIMITED NCD 21-09-2020	OLDB	1,000.00	21-09-2015	ICRA	AAA	D	17-09-2018	
	8.89% INR IDFC BANK LIMITED NCD 02-12-2020 1	ECOS	498.04	30-09-2015	ICRA	AAA	AA	21-05-2019	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	OLDB	4,010.14	08-09-2016	CARE	AAA	D	23-09-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLDB	2,516.67	13-12-2017	CARE	AAA	D	05-06-2019	
	9.45% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 08-09-2020	OLDB	1,000.00	08-09-2015	CARE	AAA	D	05-06-2019	
	9.00% INR INDIA BULLS HOUSING FINANCE LTD NCD 19-01-2021	HTDN	998.40	19-01-2016	CARE	AAA	AA	17-02-2020	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 10/08/2020

Signature

Full Name

Authorised Signatory

Mr. Rahul Ahuja

Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority