

NL 37-Downgrading of Investment
(Read with Regulation 10)
Name of the Insurer: Bharti AXA General Insurance Co. Ltd.
Registration Number: 139
Statement as on: 30th September 2020
Statement of Down Graded Investments
Periodicity of Submission: Quarterly
PART - A
Name of Fund PH +SH
Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>¹								
B.	<u>As on Date</u>²								
	8.35% INR Bharti Airtel Ltd NCD 20-04-2021	ICTD	2,500.00	14-03-2018	CRISIL	AA+	AA	15-11-2018	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	OLDB	1,500.67	05-01-2017	CARE	AAA	D	23-09-2019	
	7.53% INR PNB HOUSING FINANCE LTD NCD 31-12-2020	HTDN	2,500.00	02-11-2017	INDIA RATINGS	AAA	AA	06-03-2020	
	8.78% INR ILFS NCD 28-06-2021	OLDB	1,000.00	28-06-2016	CARE	AAA	D	17-09-2018	
	8.89% INR IDFC BANK LIMITED NCD 02-12-2020 1	ECOS	499.02	30-09-2015	ICRA	AAA	AA	21-05-2019	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	OLDB	4,008.48	08-09-2016	CARE	AAA	D	23-09-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLDB	2,516.48	13-12-2017	CARE	AAA	D	05-06-2019	

CERTIFICATION
Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.
Date: 10/11/2020
Signature
Full Name
Authorised Signatory
Mr. Rahul Ahuja
Chief of Finance
Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority