

NL 37-Downgrading of Investment

(Read with Regulation 10)

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Registration Number: 139

Statement as on: 31st December 2020

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART - A

Name of Fund PH +SH

100

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
B.	<u>As on Date</u> ²								
	8.35% INR Bharti Airtel Ltd NCD 20-04-2021	IDPG	2,500.00	14-03-2018	CRISIL	AA+	AA	01-11-2019	
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	OLDB	1,499.49	05-01-2017	CARE	AAA	D	23-09-2019	
	8.78% INR ILFS NCD 28-06-2021	OLDB	1,000.00	28-06-2016	CARE	AAA	D	17-09-2018	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	OLDB	4,001.31	08-09-2016	CARE	AAA	D	23-09-2019	
	9.05% INR DEWAN HOUSING FINANCE CORPORATION LTD NCD 09-09-2023	OLDB	2,513.68	13-12-2017	CARE	AAA	D	05-06-2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 10/02/2021

Signature

Full Name

Authorised Signatory

Mr. Karni Arha

Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority