

NL 37-Downgrading of Investment

(Read with Regulation 10)

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Registration Number: 139

Statement as on: 31st March 2021

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART - A

Name of Fund PH +SH

Rs Lakhs

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of last Downgrade | Remarks |
|----|---|------|--------|------------------|---------------|----------------|---------------|------------------------|---------|
| A. | <u>During the Quarter ¹</u> | | | | | | | | |
| B. | <u>As on Date ²</u> | | | | | | | | |
| | 8.35% INR Bharti Airtel Ltd NCD 20-04-2021 | IDPG | 2500 | 14-03-2018 | CRISIL | AA+ | AA | 01-11-2019 | |
| | 8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021 | OLDB | 1500 | 05-01-2017 | CARE | AAA | D | 23-09-2019 | |
| | 8.78% INR ILFS NCD 28-06-2021 | OLDB | 1000 | 28-06-2016 | CARE | AAA | D | 17-09-2018 | |
| | 8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1 | OLDB | 4000 | 08-09-2016 | CARE | AAA | D | 23-09-2019 | |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 10/05/2021

Signature

Full Name

Authorised Signatory

Mr. Karni Arha

Chief of Finance

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority