

NL 37-Downgrading of Investment

(Read with Regulation 10)

Name of the Insurer: Bharti AXA General Insurance Co. Ltd.

Registration Number: 139

Statement as on: 30th June 2021

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART - A

Name of Fund PH +SH

Rs Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
B.	<u>As on Date</u> <sup>2</sup>								
	8.50% INR RELIANCE CAPITAL LIMITED NCD 02-11-2021	OLDB	1500	05-01-2017	CARE	AAA	D	23-09-2019	
	8.90% INR RELIANCE CAPITAL LIMITED NCD 09-09-2021 1	OLDB	4000	08-09-2016	CARE	AAA	D	23-09-2019	

**CERTIFICATION**

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Date: 09/08/2021

Signature

Full Name

Authorised Signatory

Mr. Karni Arha

Chief of Finance

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority